

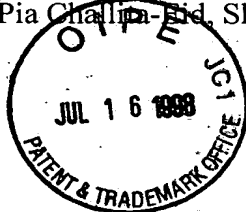
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Joseph D. Rosenblatt, Pia Challa-Hid, Sherie Morrison, Camille N. Abboud, Seung-Uon Shin

Serial No. : 09/016,743

Filed : January 30, 1998

For : CHIMERIC ANTIBODY FUSION PROTEINS FOR THE RECRUITMENT AND STIMULATION OF AN ANTITUMOR IMMUNE RESPONSE



TRANSMITTAL LETTER

Assistant Commissioner For Patents
Washington, D.C. 20231
Box: Missing Parts

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(c) which was mailed by the United States Patent and Trademark Office on **March 18, 1998**, enclosed are:

- ☒ Two Combined Declarations and Powers of Attorney.
- ☒ A Request for Two-Month Extension of Time.
- ☒ Two verified statements to establish small entity status under 37 CFR 1.9 and 1.27.
- ☒ A Request for Corrected Filing Receipt and copy of Official Filing Receipt.
- ☒ A copy of the Notice to File Missing Parts.
- ☒ A self-addressed, prepaid postcard for acknowledging receipt.
- ☒ Fees as calculated below:

FEE FOR TWO-MONTH EXTENSION OF TIME	\$200.00
SURCHARGE 37 CFR 1.16(e)	\$ 65.00
ADDITIONAL FEES	\$
TOTAL FEES SUBMITTED HERewith	\$265.00

- ☒ A check in the amount of **\$265.00** to cover the above fees.

- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 14-1138. A duplicate copy of this sheet is enclosed.

Date: 7/13/98

07/22/1998 PEOPLES 00000026 09016743

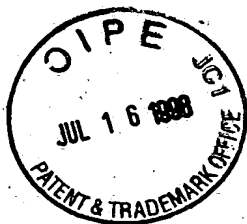
01 FC:216
02 FC:205

200.00 DP
Nixon, Hargrave, Deane & Doyle LLP
Clinton Square, P.O. Box 1051
Rochester, New York 14603
Telephone: (716) 263-1741
Facsimile: (716) 263-1600
R191372.1

Dennis M. Connolly
Dennis M. Connolly
Registration No. 40,964

Certificate of Mailing - 37 CFR 1.8(a)	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below.	
July 13, 1998 Date	Ruth R. Smith Ruth R. Smith

RECEIVED
98 JUL 24 AM 7:39
GROUP 1800



PATENT
176/60192
(6-11405-675, 6-11405-676)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	: Rosenblatt et al.)	Examiner:
)	Unknown
Serial No	: 09/016,743)	
)	Art Unit:
Filed	: January 30, 1998)	1642
)	
For	: CHIMERIC ANTIBODY FUSION)	
	PROTEINS FOR THE RECRUITMENT)	
	AND STIMULATION OF AN)	
	ANTITUMOR IMMUNE RESPONSE)	

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231
Box: Missing Parts

Dear Sir:

Applicants hereby request a corrected filing receipt for the above-identified application. On the enclosed copy of the official filing receipt, the cities and states of residence for the inventors were omitted. They should read as follows:

JOSEPH D. ROSENBLATT, ROCHESTER, NY; PIA CHALLITA-EID,
ROCHESTER, NY; SHERIE MORRISON, LOS ANGELES, CA; CAMILLE N.
ABBOUD, ROCHESTER, NY; SEUNG-UON SHIN, LOS ANGELES, CA.

In addition, the correspondence address does not include the name of the attorney of record. The correspondence address should read:

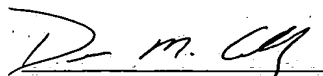
MICHAEL L. GOLDMAN, ESQ.
NIXON, HARGRAVE, DEVANS & DOYLE LLP
CLINTON SQUARE
P.O. BOX 1051
ROCHESTER, NEW YORK 14603

Also, the entity status is omitted. It should read "SMALL ENTITY", per the small entity declaration being filed with the enclosed Response to Notice to File Missing Parts.

Since Applicants are not responsible for these errors, no fee is enclosed.

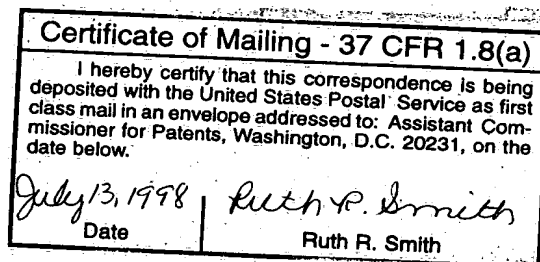
Respectfully submitted,

Dated: 7/13, 1998



Dennis M. Connolly
Registration No. 40,964

Nixon, Hargrave, Devans & Doyle LLP
Clinton Square
P. O. Box 1051
Rochester, New York 14603
Telephone: (716) 263-1741
Telecopy: (716) 263-1600



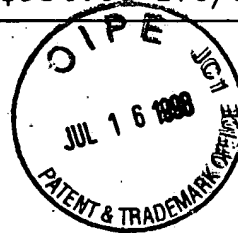
FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/016,743	01/30/98	1642	\$954.00	176/60192 (UR	22	76	5

NIXON HARGRAVE DEVANS AND DOYLE
CLINTON SQUARE
P O BOX 1051
ROCHESTER NY 14603



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

JOSEPH D. ROSENBLATT; PIA CHALLITA-EID; SHERIE MORRISON;
CAMILLE N. ABBOD; SEUNG-UON SHIN.

CONTINUING DATA AS CLAIMED BY APPLICANT-

PROVISIONAL APPLICATION NO. 60/037,256 01/31/97
PROVISIONAL APPLICATION NO. 60/064,018 11/03/97

FOREIGN FILING LICENSE GRANTED 03/17/98

TITLE

CHIMERIC ANTIBODY FUSION PROTEINS FOR THE RECRUITMENT AND STIMULATION
OF AN ANTITUMOR IMMUNE RESPONSE

PRELIMINARY CLASS: 424

ENTERED
NHDD

APR - 3 1998

FILE

DKT

176/60192



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/016,743	01/30/98	ROSENBLATT	J. 176/60192 (UR

0252/0318
NIXON HARGRAVE DEVANS AND DOYLE
CLINTON SQUARE
P O BOX 1051
ROCHESTER NY 14603

NOT ASSIGNED

1642
DATE MAILED:

03/18/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130.00 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS** FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 130.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.
- ☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ _____ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the response. 176/60192 dlf

Yon
Customer Service Center
Initial Patent Examination Division (703) 308-1202

ENTERED
NHDD

APR - 3 1998

DKT RESPONSE DUE:

MAY 18, 1998